



**AAT**

**PROFESSIONAL STANDARDS**

**ORDER BY CONSENT**

**In the matter of**

Mrs Alison Cook MAAT, 185835

**Misconduct**

1. Between, on or about 01 October 2008 to 13 March 2018, Mrs Alison Cook provided self-employed accountancy or related services to the public whilst not registered as a licensed member or approved to provide such services whilst subject to an exemption.
2. Between, on or about 01 October 2008 to 13 March 2018, Mrs Alison Cook provided self-employed accountancy or related services to the public without being registered with a supervisory authority within the meaning of The Money Laundering Regulations.
3. Between, on or about 01 October 2008 to 13 March 2018, Mrs Alison Cook failed to have in place appropriate professional indemnity insurance whilst providing self-employed accountancy or related services to the public.

**Finding**

Grounds for disciplinary action existed and the matter was suitable for the exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

**Order**

Mrs Cook is hereby:

1. Reprimanded; the reprimand will expire 18 months from the date of this Order
2. Warned that she must become thoroughly acquainted and comply with relevant AAT regulations and relevant UK laws.
3. Fined £1,564

**Consent**

Mrs Cook consented to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

01 August 2018