

**AAT**

**PROFESSIONAL STANDARDS**

**ORDER OF AAT**

**In the matter of**

Mrs Ann McFadden (10284964)

**Misconduct**

Ann McFadden's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 1 October 2015 and 14 September 2016, Mrs McFadden provided accountancy services to the public as Ann McFadden T/A Books'N More whilst not registered or licensed on the scheme for members in practice, or approved to provide such services whilst subject to an exemption.
2. Between on or around 1 October 2015 and 14 September 2016, Mrs McFadden provided accountancy services to the public as Ann McFadden T/A Books'N More without being registered with a supervisory authority within the meaning of the *Money Laundering Regulations 2007*.

**Finding**

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

**Order**

Ann McFadden is hereby:

- i. reprimanded
- ii. warned over her future conduct
- iii. fined £130.

**Consent**

Ann McFadden agreed the decision of the Investigations Team, in accordance with paragraphs 6 and 31 of the *Disciplinary Regulations*.

22 February 2017