



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Anne Murphy (10075156)

Misconduct

Anne Murphy's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 10 August 2011 and on or around 16 January 2018, she provided self-employed accountancy or related services to the public whilst not registered or licensed or approved to provide such services whilst subject to an exemption.
2. Between on or around 10 August 2011 and on or around 03 March 2018, she provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Anne Murphy is hereby:

- i. Reprimanded. The reprimand will remain live on her file for a period of 24 months from the date of this Order.
- ii. Fined £1,485.00, with payment to be received within 60 days from the date of this Order (unless otherwise agreed with Professional Standards).
- iii. Warned that she must ensure she is fully aware of – and compliant with – all guidelines, regulations and policies which govern her membership of AAT.
- iv. Required to complete a Licensed Accountant application or resign her membership of AAT within 30 days of the date of this Order.

Consent

Anne Murphy has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

10 October 2018