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PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Amanda Stockton 50958

Misconduct

Amanda Stockton's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or about 06 April 2013 to 24 April 2018, Amanda Stockton provided accountancy and/or bookkeeping services to the public without holding a licence, or approved to provide such services whilst subject to an exemption.
2. Between on or about 06 April 2013 to 24 April 2018, Amanda Stockton provided accountancy and/or bookkeeping services to the public without being registered with a supervisory authority within the meaning of the Money Laundering Regulations.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Amanda Stockton is hereby:

- i. Reprimanded, with the reprimand remaining active on her record for a period of 12 months from the date the sanction is imposed.
- ii. Warned with regards to future conduct
- iii. Fined £400

Consent

Amanda Stockton has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

01 April 2019