

AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Claire Thurston MAAT (7993872)

Misconduct

Claire Thurston's professional or personal conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around April 2013 and on or around 18 September 2017, she provided self-employed accountancy or related services to the public whilst not registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around April 2013 and on or around 18 September 2017, she provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Claire Thurston is hereby:

- i. Reprimanded. The reprimand will remain live on Mrs Thurston's file for a period of 24 months
- ii. Warned that she must become thoroughly acquainted and comply with relevant laws and regulations that govern her membership status with AAT.
- iii. Fined £436.00.

Consent

Claire Thurston has been deemed to have agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

26 January 2018