

**AAT**

**PROFESSIONAL STANDARDS**

**ORDER BY CONSENT**

**In the matter of**

Deborah Warburton (6255202)

**Misconduct**

Deborah Warburton's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 1 September 2017 and 26 April 2018 whilst a full member of AAT, you provided self-employed accountancy and or bookkeeping and/or related services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 1 September 2017 and 26 April 2018 whilst a full member of AAT, you provided accountancy and/or bookkeeping and/or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the Money Laundering Regulations.

**Finding**

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

**Order**

Deborah Warburton is hereby:

- i. Reprimanded, with the reprimand remaining live on her records for a period of 12 months;
- ii. Warned about her future conduct; and
- iii. Fined, £279.00.

**Consent**

Deborah Warburton has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

9 October 2018