



**AAT**

**PROFESSIONAL STANDARDS**

**ORDER BY CONSENT**

**In the matter of**

Katy Curtis (10119440)

**Misconduct**

Katy Curtis' professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or about 1 June 2018 to on or around 4 December 2018, whilst a full member of AAT, Mrs Katy Curtis provided self-employed accountancy and/or bookkeeping and related services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or about 1 June 2018 to on or around 4 December 2018, whilst a full member of AAT, Mrs Katy Curtis provided self-employed accountancy and/or bookkeeping and related services to the public without being registered with a supervisory authority for the purposes of Anti-Money Laundering as required by the Money Laundering Regulations.

**Finding**

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

**Order**

Katy Curtis is hereby:

- i. **Reprimanded**, with the **Reprimand** remaining active on her record for a period of **12 months** from the date the sanction is imposed.
- ii. **Fined**, with the fine being **£279.00**
- iii. **Warned about her future conduct**. She must ensure that she is fully aware and compliant with guidelines, policies and regulations that govern his AAT membership.

**Consent**

Katy Curtis has agreed to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

**Date**

29 May 2019