



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Sara Jones (10433125)

Misconduct

Sara Jones' professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between or on or around 1 January 2018 and 19 December 2018, whilst a full member of AAT, Mrs Sara Jones provided self-employed accountancy and or bookkeeping and/or related services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between or on or around 1 January 2018 and 19 December 2018, whilst a full member of AAT Mrs Sara Jones provided accountancy and/or bookkeeping and/or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the Money Laundering Regulations.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Sara Jones is hereby:

- i. **Reprimanded**, with the **Reprimand** remaining active on her record for a period of **24 months** from the date the sanction is imposed.
- ii. **Fined**, with the fine being **£140.00**.
- iii. **Warned about her future conduct**. She must ensure that she is fully aware and compliant with the guidelines, policies and regulations that govern her AAT membership.

Consent

Sara Jones has agreed to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

Date

30 May 2019