**AAT**

**PROFESSIONAL STANDARDS**

**ORDER BY CONSENT**

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| **In the matter of** | Elaine Crisp 10091222 |
| **Misconduct** | Elaine Crisp's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:<br><br>1. Between on or around 14 November 2016 and 29 September 2017, whilst a full member of AAT, Elaine Crisp provided self-employed accountancy services to the public whilst not licensed or approved to provide such services whilst subject to an exemption<br><br>2. Between on or around 14 November 2016 and 29 September 2017, whilst a full member of AAT, Elaine Crisp provided accountancy services to the public without being registered with a supervisory authority within the meaning of the *Money Laundering Regulations* |
| **Finding** | Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*. |
| **Order** | Elaine Crisp is hereby:<br><br>i. Reprimanded, with the reprimand to remain active on their record for a period of 24 months from the date the sanction is imposed.<br><br>ii. Warned, with regards to future conduct<br><br>iii. Fined, £266 with payment to be received 30 days from the date of the invoice.<br><br>Elaine Crisp has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.<br><br>17 October 2018 |