

## AAT

### PROFESSIONAL STANDARDS

#### ORDER OF AAT

#### **In the matter of**

Ian Lilley (10027461)

#### **Misconduct**

Ian Lilley's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 02 June 2016 and on or around 09 August 2017, whilst a member of AAT, Mr Lilley provided self-employed accountancy services to the public without holding a licence or being approved to provide such services whilst subject to an exemption.
2. Between on or around 02 June 2016 and on or around 09 August 2017, whilst a member of AAT, Mr Lilley provided self-employed accountancy services to the public without being registered with a supervisory authority, as required by anti-money laundering legislation.

#### **Finding**

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

#### **Order**

Ian Lilley is hereby:

- i. reprimanded for three years
- ii. fined £80.00.

#### **Consent**

Ian Lilley has agreed to submit to the decision of the Investigations Team, in accordance with Regulation 6 of the *Disciplinary Regulations*.

29 November 2017