

AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Jean-Claude Clifford Jr MAAT (10225282)

Misconduct

Jean-Claude Clifford Jr's professional or personal conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 06 April 2015 and 06 June 2017, he provided self-employed accountancy or related services to the public whilst not registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 06 April 2015 and 06 June 2017, he provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the *Money Laundering Regulations 2007*.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Jean-Claude Clifford Jr is hereby:

- i. Reprimanded. The reprimand will remain live on Mr Clifford's file for a period of three years (36 months).
- ii. Fined £523.00.

Consent

Jean-Claude Clifford Jr is deemed to have agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

29 November 2017