



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Mrs Julie Shaw MAAT, 10141885

Misconduct

1. Between, on or about 01 July 2014 to 26 February 2018, Mrs Julie Shaw provided self-employed accountancy or related services to the public whilst not registered as a licensed member or approved to provide such services whilst subject to an exemption.
2. Between, on or about 01 July 2017 to 26 February 2018, Mrs Julie Shaw provided self-employed accountancy or related services to the public without being registered with a supervisory authority within the meaning of The Money Laundering Regulations.
3. Between, on or about 01 July 2017 to 13 January 2018, Mrs Julie Shaw failed to have in place appropriate professional indemnity insurance whilst providing self-employed accountancy or related services to the public.

Finding

Grounds for disciplinary action existed and the matter was suitable for the exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Mrs Shaw is hereby:

1. Reprimanded; the reprimand will expire 24 months from the date of this Order
2. Warned that she must become thoroughly acquainted and comply with relevant AAT regulations, and relevant laws, that govern her membership status.
3. Fined £459

Consent

Mrs Shaw consented to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

16 July 2018