



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Keith Thompson (6264760)

Misconduct

Keith Thompson's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or about 01 October 2004 to 14 March 2018, Keith Thompson provided accountancy and/or bookkeeping services to the public without holding a licence, or approved to provide such services whilst subject to an exemption.
2. Between on or about 01 January 2008 to 28 September 2018, Keith Thompson provided accountancy and/or bookkeeping services to the public without being registered with a supervisory authority within the meaning of the Money Laundering Regulations

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Keith Thompson is hereby:

- i. Expelled from membership indefinitely.

Consent

Keith Thompson has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

07 March 2019