



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of Lee Grant, 20142215

Misconduct Lee Grant's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or about 17 December 2017 to 03 June 2019, Lee Grant provided accountancy and/or bookkeeping services to the public without being registered with a supervisory authority within the meaning of the Money Laundering Regulations

Finding Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order Lee Grant is hereby:

- i. Reprimanded for a period of 2 years.
- ii. Warned with regards to future conduct.
- iii. Fined £500.

Consent Lee Grant has agreed to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

03 April 2020