



AAT

PROFESSIONAL STANDARDS

ORDER OF AAT

In the matter of

Leslie Clinton (6211719)

Misconduct

Leslie Clinton's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 04 March 2014 and on or around 11 May 2018, Leslie Clinton provided self-employed accountancy services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 04 March 2014 and on or around 11 May 2018, Leslie Clinton provided self-employed accountancy services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

Order

The following sanctions have been imposed:

- i. Leslie Clinton's fellow membership is removed for a period of two years
- ii. Leslie Clinton is reprimanded, with the reprimand remaining live on his record for a period of two years
- iii. Leslie Clinton is warned about his future conduct
- iv. Leslie Clinton is fined £911.00.

Consent

Leslie Clinton has agreed to submit to the decision of the Investigations Team, in accordance with Regulation 6 of the *Disciplinary Regulations*.

16 October 2018