



AAT

PROFESSIONAL STANDARDS

ORDER OF AAT

In the matter of

Louise Mellor (277872)

Misconduct

Louise Mellor's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 01 November 2012 and on or around 16 November 2017, whilst a member of AAT, Louise Mellor provided self-employed accountancy services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 01 November 2012 and on or around 16 November 2017, Louise Mellor provided self-employed accountancy services to the public without being registered with a supervisory authority within the meaning of the Money Laundering Regulations.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

Order

Louise Mellor is hereby:

- i. reprimanded, with the reprimand remaining live on her record for a period of 24 months
- ii. warned about her future conduct
- iii. fined £511.00.

Consent

Louise Mellor has agreed to submit to the decision of the Investigations Team, in accordance with Regulation 6 of the *Disciplinary Regulations*.

08 August 2018