

AAT

PROFESSIONAL STANDARDS

ORDER OF AAT

In the matter of

Mary Dunball (10095162)

Misconduct

Mary Dunball's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 22 November 2012 and 04 October 2016, Ms Dunball provided accountancy services and/or taxation and/or related consultancy services to the public as Dunballs & Co Ltd whilst not registered or licensed on the scheme for members in practice, or approved to provide such services whilst subject to an exemption.
2. Between on or around 22 November 2012 and 28 January 2016, Ms Dunball provided accountancy services and/or taxation and/or related consultancy services to the public as Dunballs & Co Ltd without Professional Indemnity Insurance.
3. Between on or around 22 November 2012 and 04 October 2016, Ms Dunball provided accountancy services and/or taxation and/or related consultancy services to the public without being registered with a supervisory authority within the meaning of the *Money Laundering Regulations 2007*.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

Order

Mary Dunball is hereby:

- i. reprimanded
- ii. warned over her future conduct
- iii. fined £987

Consent

Mary Dunball agreed the decision of the Investigations Team, in accordance with paragraphs 6 and 31 of the *Disciplinary Regulations*.

07 May 2017