



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Nicola Bailey 10205887

Misconduct

Nicola Bailey's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or about 13 September 2011 and 09 November 2017, Nicola Bailey provided accountancy services to the public without holding a licence, or approved to provide such services whilst subject to an exemption

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Nicola Bailey is hereby:

- i. Reprimanded, with the reprimand to remain active on her record for a period of 24 months from the date the sanction is imposed.
- ii. Warned with regards to future conduct
- iii. Fined £1,284 with payment to be received 30 days from the date of the invoice.

Consent

Nicola Bailey has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

08 November 2018