

AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Mr Tomas Wilcox (295288)

Misconduct

Mr Wilcox' professional conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between August 2012 and February 2016 Mr Wilcox failed to ensure that clients' money held by his firm was handled in accordance with the requirements set out in Regulations 24 and 25 of AAT's *Member in practice regulations and guidance* in that clients' money was placed in the office account.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

Order

Tomas Wilcox is hereby:

- i. reprimanded for a period of three years
- ii. fined £200.

Consent

Mr Wilcox actively agreed to submit to the decision of the Investigations Team in accordance with paragraphs 6 and 31 of the *Disciplinary Regulations*.

09 March 2017