



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Mr Umar Farooq

Misconduct

Umar Farooq's professional or personal conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 01 February 2017 and on or around 16 January 2018, whilst a full member of AAT, Mr Farooq provided self-employed accountancy and or bookkeeping and/or related services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 01 February 2017 and on or around 16 January 2018, Mr Farooq provided accountancy and or bookkeeping and/or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the Money Laundering Regulations.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Umar Farooq is hereby:

- i. Reprimanded. The reprimand will remain live on his file for a period of 12 months.
- ii. Warned about his future conduct.

Consent

Umar Farooq has agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

01 June 2016