



## AAT

### PROFESSIONAL STANDARDS

#### ORDER OF AAT

#### **In the matter of**

Wesley Griffith (284034)

#### **Misconduct**

Wesley Griffith's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 09 February 2016 and 21 November 2017, whilst a member of AAT, Wesley Griffith provided self-employed accountancy services to the public without being registered or licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 09 February 2016 and 21 November 2017, whilst a full member of AAT, Wesley Griffith provided self-employed accountancy services to the public without being registered with a supervisory authority within the meaning of the Money Laundering Regulations.

#### **Finding**

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

#### **Order**

Wesley Griffith is hereby:

- i. reprimanded, with the reprimand remaining live on his record for a period of 24 months
- ii. warned about his future conduct
- iii. fined £545.00.

#### **Consent**

Wesley Griffith has agreed to submit to the decision of the Investigations Team, in accordance with Regulation 6 of the *Disciplinary Regulations*.

20 April 2018