

AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Mr Wayne McCormack MAAT 10086009

Misconduct

1. On or about 18 March 2016 to 01 March 2017, whilst a full member of AAT, Mr McCormack provided accountancy services to the public whilst not registered as a licensed member or approved to provide such services whilst subject to an exemption.
2. On or about 18 March 2016 to 01 March 2017, whilst a full member of AAT, Mr McCormack provided accountancy services to the public without being registered with a supervisory authority within the meaning of The Money Laundering Regulations 2007.

Finding

Grounds for disciplinary action existed and the matter was suitable for the exercise of the Investigations Team's powers under Regulations 6 and 31 of the *Disciplinary Regulations*.

Order

Mr McCormack is hereby:

1. Reprimanded. The Reprimand will expire 12 months from the date of this Order
2. Warned that he must become thoroughly acquainted and comply with relevant laws and regulations that govern his AAT membership status
3. Fined £266

Consent

Mr McCormack agreed with the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

Date of Order

22 June 2017