



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Anthony Perera FMAAT, 22947

Misconduct

Anthony Perera's conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public and/or undermine public confidence in the Association and its members as follows:

1. For an unknown period up until on or around 25 April 2019 he failed to have adequate anti-money laundering policies and procedures in place
2. For an unknown period up until on or around 25 April 2019 he failed to carry out any or any adequate review of your anti-money laundering controls
3. For an unknown period up until on or around 25 April 2019 he failed to carry out an adequate firm-wide anti-money laundering risk assessment
4. For an unknown period up until on or around 25 April 2019 he failed to carry out adequate client due diligence in respect of one or more clients
5. For an unknown period up until on or around 25 April 2019 he failed to adequately record the carrying out of an individual anti-money laundering risk assessment in respect of one or more clients
6. For an unknown period up until on or around 25 April 2019 he failed to have in place adequate anti-money laundering training
7. For an unknown period up until on or around 25 April 2019 he failed to issue any or any adequate letters of engagement to one or more clients
8. For an unknown period up until on or around 25 April 2019 he failed to receive and hold clients' money in accordance with AAT's *Clients' Money* policy
9. For an unknown period up until on or around 25 April 2019 he failed to maintain a record of your continuing professional development (CPD) in accordance with AAT's *CPD* policy.

Finding

Grounds for disciplinary action existed and the matter was suitable for the exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Anthony Perera is hereby:

1. Reprimanded; the reprimand will expire three years from the date of this Order
2. Fined £1,133
3. Divested of his fellow member status
4. Warned that he must comply with laws that are relevant to the services he is licensed to provide, this includes AAT regulations, standards and guidance.

Consent

Anthony Perera consented to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

02 November 2020