

AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Mr Edward Tudor (Membership number 6306180)

Misconduct

Between on or around 28 January 2019 and on or around 16 January 2020, Mr Tudor failed to adequately fulfil a request for information received from FIRM X relating to a former client.

Between on or around 06 April 2009 and on or around 16 January 2020, Mr Tudor provided self-employed accountancy or related services to the public whilst not registered, licensed or approved to provide such services whilst subject to an exemption.

Between on or around 06 April 2009 and on or around 16 January 2020, Mr Tudor provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Mr Tudor is hereby:

Fined £880.00 in respect of your breach of *the Money Laundering Regulations*

Reprimanded for a period of 60 months from the date the sanction is imposed.

Consent

Mr Tudor passively submitted to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

06 May 2020