



AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Holly Spence MAAT, 10265328

Misconduct

Holly Spence's conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public and/or undermine public confidence in the Association and its members as follows:

1. Between on or around 06 April 2019 until 17 June 2020, she provided self-employed accountancy or related services to the public whilst not licensed or approved to provide such services whilst subject to an exemption.
2. Between on or around 06 April 2019 until 17 June 2020, she provided self-employed accountancy or related services to the public without being registered with a supervisory authority within the meaning of The Money Laundering Regulations.

Finding

Grounds for disciplinary action existed and the matter was suitable for the exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Holly Spence is hereby:

1. Reprimanded; the reprimand will expire 24 months from the date of this Order
2. Fined £140
3. Warned that that she must familiarise herself with and comply with the relevant laws, regulations and bylaws that govern her AAT membership status.

Consent

Holly Spence actively consented to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

05 May 2021