



AAT

ORDER BY CONSENT

In the matter of

Karen Green (10060531)

Misconduct

Karen Green's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 07 March 2019 and on or around 26 May 2020, she provided self-employed accountancy or related services to the public whilst not licensed or approved to provide such services whilst subject to an exemption.
2. Between on or around 07 March 2019 and on or around 21 October 2020, she provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the Money Laundering Regulations.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Karen Green is hereby:

- i. Reprimanded. The reprimand will remain live on her record for two years/24 months.
- ii. Considered unfit to hold fellow membership of AAT for a period of 24 months.
- iii. Warned that she must ensure she renews her licence as required and maintains appropriate AML supervision in the event she provides self-employed accountancy services whilst a member of AAT.
- iv. Fined £299.00, to be paid to AAT within 60 days.

Consent

Karen Green is deemed to have agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

21 January 2021

AAT's Publication policy requires AAT to publish the Committee's finding and orders as soon as practicable.