

AAT INVESTIGATIONS TEAM

ORDER BY CONSENT

In the matter of Louise Hunt (Membership number 147147)

Misconduct

Louise Hunt's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in AAT or its members, in that she:

1. Failed to have in place adequate anti-money laundering procedures;
2. Failed to have in place a system for reviewing her firm's compliance with the Money Laundering Regulations;
3. Failed to undertake regular anti-money laundering training, as required by the Money Laundering Regulations;
4. Failed to comply fully with AAT's *CPD* policy;
5. Failed to issue any, or any adequate, letters of engagement to one or more clients.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Louise Hunt is hereby:

Reprimanded, with the reprimand remaining active on record for a period of three years from the date the sanction is imposed.

Warned about her future conduct, specifically in respect of ensuring compliance with laws that are relevant to the services that she is licensed to provide, including AAT regulations, standards and guidance at all times.

Deemed ineligible to apply for fellow member status whilst the reprimand is 'live'.

Consent

Louise Hunt *agreed* to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

20 May 2020