

AAT

PROFESSIONAL STANDARDS

ORDER of AAT

In the matter of

Mr Paul Harrison, 10039993

Misconduct

Mr Paul Harrison's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 10 November 2017 and on or around 10 September 2019, Mr Harrison provided self-employed accountancy and or bookkeeping and/or related services to the public without being licensed, or approved to provide such services whilst subject to an exemption.
2. Between on or around 10 November 2017 and on or around 10 September 2019, Mr Harrison provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

The following sanctions have been imposed

- i. Reprimanded, with the reprimand remaining active on his record for a period of 36 months from the date the sanction is imposed.
- ii. Warned with regards to future conduct
- iii. Fined £558 with payment to be received 30 days from the date of the invoice.

Consent

Mr Paul Harrison is deemed to have agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

07 January 2020