



AAT

PROFESSIONAL STANDARDS

ORDER OF AAT

In the matter of

Sarah Fullstone (84191)

Misconduct

Sarah Fullstone's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. On one or more occasions since 28 March 2014, she received and retained a reward in return for a client introduction without obtaining the client's consent to do so.
2. She provided Independent Examination services to one or more clients outside the scope of her AAT licence, a contravention of AAT's *Licensing Regulations*.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under paragraphs 6 and 31 of the *Disciplinary Regulations*.

Order

The following sanctions have been imposed:

- i. Mrs Fullstone's fellow membership status has been removed for a period of two years
- ii. Reprimand for a period of two years
- iii. Warning about future conduct.

Consent

Sarah Fullstone agreed to submit to the decision of the Investigations Team, in accordance with Regulation 6 of the *Disciplinary Regulations*.

20 May 2021