

AAT

## **ORDER BY CONSENT**

**In the matter of**

Simone Rennox 221754

**Misconduct**

Simone Rennox's professional or personal conduct, was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 13 August 2018 and on or around 14 January 2020, she failed to issue any, or adequate, letter of engagement to one or more clients
2. Between on or around 11 June 2019 and on or around 14 January 2020, she did not act responsibly in accordance with the requirements of an assignment, carefully, thoroughly and on a timely basis
3. Between on or around 11 June 2019 and on or around 14 January 2020 she failed to make explicitly clear to the client the basis of which her fees were charged and what services would be covered by the quoted fee.
4. Between on or around 01 September 2019 and on or around 27 July 2020, she provided self employment accountancy services or related services to the public whilst not licenced or approved to provide such services whilst subject to an exemption.
5. Between on or around 01 September 2019 and on or around 14 January 2020 she provided self employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the Money Laundering Regulations.

**Finding**

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

**Order**

Simone Rennox is hereby:

- i. Expelled from AAT for 2 years, beginning from the date of this order.

**Consent**

Simone Rennox is deemed to have agreed to submit to the decision of the Investigations Team in accordance with Regulation 6 of the *Disciplinary Regulations*.

05 October 2021

AAT's Publication policy requires AAT to publish the Committee's finding and orders as soon as practicable.