

AAT

PROFESSIONAL STANDARDS

ORDER BY CONSENT

In the matter of

Jodie Thompson (10124233)

Misconduct

Jodie Thompson's professional or personal conduct was found to be in breach of the *Code of Professional Ethics* and to have posed a risk to the public or was likely to undermine public confidence in the Association or its members; namely:

1. Between on or around 30 January 2015 and 19 April 2017, she provided self-employed accountancy or related services to the public whilst not licensed or approved to provide such services whilst subject to an exemption.
2. Between on or around 30 January 2015 and 19 April 2017, she provided self-employed accountancy or related services to the public without being registered with a supervisory authority for the purposes of Anti Money Laundering as required by the *Money Laundering Regulations 2007*.

Finding

Grounds for disciplinary action existed and the matter was suitable for exercise of the Investigations Team's powers under the *Disciplinary Regulations*.

Order

Jodie Thompson is hereby:

1. **Reprimanded.** This reprimand will remain live on Ms Thompson's record for a period of three years (36 months) from the date of this Order.
2. **Fined £279.00.**

Consent

Jodie Thompson has consented to submit to the decision of the Investigations Team.

10 August 2017